

10/582675

AP20 Rec'd PCT/PTO 12 JUN 2006

Docket No.: W1878.0233
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Midori Shimura et al.

Application No.: Not Yet Assigned

Filed: June 12, 2006

Art Unit: Not Yet Assigned

For: SHAPE-MEMORY RESIN PERFORMING
REMOLDABILITY AND EXCELLENT IN
SHAPE RECOVERING PROPERTY, AND
MOLDED PRODUCT COMPOSED OF
THE CROSS-LINKED RESIN

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Attn: MS Patent Application

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Timing of Filing of the Information Disclosure Statement:

- This IDS is being filed before the First Office Action¹.
- This IDS is being filed after the issuance of the First Office Action but before the issuance of a Final Office Action².
- This IDS is being filed after the issuance of a Final Office Action, Ex Parte Quayle Action or Notice of Allowance but before the payment of the Issue Fee³.

Certifications:

If checked, the undersigned makes the following statement(s):

- Statement under 37 CFR § 1.97(e):

Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; or

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure

¹ The IDS should, where possible, include a certification under 37 C.F.R. §1.97(e).

² The IDS *must* include either a certification under 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p).

³ The IDS *must* include both a certification under 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p).

statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Statement Under 37 C.F.R. § 1.704(d):

Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart application less than thirty days prior to the filing of this information disclosure statement.

Fee Required by 37 C.F.R. § 1.97(c)(2) or 1.97(d)(2):

If checked, the fee of \$180.00 set forth in 37 C.F.R. §1.17(p) is attached.

Copies of Information:

In accordance with 37 C.F.R. §1.98(a), the following are enclosed:

A legible copy⁴ of each document (or relevant portion thereof) cited in the attached PTO/SB/08, except for U.S. patent and U.S. published applications.

With respect to any information which is not in English, a concise explanation of the relevance, as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the information, is attached.

This concise explanation is provided by way of:

A translation of the relevant portions of the non-English language information⁵;

⁴ A legible copy of the document is not required if (1) the information was previously cited by, or submitted to, the Office and considered by the Office in a prior U.S. application to which this application claims priority, provided that the prior application is properly identified in this IDS, and (2) the IDS submitted in the earlier application complies with 37 C.F.R. § 1.98(a) – (c). This exception does not apply to information cited in an International Application.

- A statement explaining the relevant portions of the non-English language information;
- A copy [and, where not in the English language, a translation] of at least the relevant portion(s)⁵ of the communication from a foreign patent office in a counterpart foreign application in which the information was cited; or
- This information is contained in the specification of the present application.

In accordance with 37 C.F.R. 1.98(d), copies of the cited documents are not enclosed as they were provided in application Serial No. , filed , which the present application relies upon for an earlier effective filing date under 35 U.S.C. 120.

Materiality:

Whether or not the information and references disclosed in this Information Disclosure Statement is "material" pursuant to 37 CFR 1.56, this submission is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

⁵ 37 C.F.R. §1.98(a)(3)(ii) requires that an English language translation be provided when a translation of the document, or portion thereof, "is within the possession, custody or control of, or is readily available to any individual designated in 37 C.F.R. § 1.56(c)."

⁶ The relevant portion is that portion which indicates the degree of relevance found by the foreign patent office. This may be an explanation of which portion of the reference is particularly relevant, to which claims it applies, or merely an "X", "Y", or "A" indication on a search report. MPEP §609 III A(3).

10/582675

Application No.: Not Yet Assigned

Docket No.: W1878.0233

JAP20 Rec'd PCT/PTO 12 JUN 2006

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

In the event the actual fee is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account No. 50-22151.

Dated: June 12, 2006

Respectfully submitted,

By

Ian R. Brum

Registration No.: 42,336

DICKSTEIN SHAPIRO MORIN &
OSHINSKY LP

1177 Avenue of the Americas

41st Floor

New York, New York 10036-2714

(212) 277-6500

Attorney for Applicant

1A20 Rec'd PCT/PTO 12 JUN 2006

PTO/SB/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO				Complete if Known	
				Application Number	Not Yet Assigned
				Filing Date	June 12, 2006
				First Named Inventor	Midori Shimura
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	W1878.0233

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
BA	-JP 62-192440		08-24-1987		
BB	-JP 59-053528		03-28-1984		
BC	-JP 02-092914		04-03-1990		
BD	-JP 63-179955		07-23-1988		
BE	-JP 02-133407		05-22-1990		
BF	-JP 02-258818		10-19-1990		
BG	-JP 11-035675		02-09-1999		
BH	-JP 11-106578		04-20-1999		
BI	-JP 09-221539		08-26-1997		
BJ	-WO 99/42147		08-26-1999		
BK	-JP 2002-256052		09-11-2002		
BL	-JP 2002-097234		04-02-2002		
BM	-JP 10-147720		06-02-1998		
BN	-JP 02-169612		06-29-1990		
BO	-JP 2000-086849		03-28-2000		
BP	-JP 06-065460		03-08-1994		
BQ	-JP 03-146511		06-21-1991		
BR	-JP 02-255830		10-16-1990		
BS	-WO 99/42528		08-26-1999		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
CA	Masao Karouji, "Development of material for shape-memory polymer", CMC, pgs. 30-43 (1989)				
CB	Engle et al., Macromol. Chem. Phys., Vol. C33, No. 3, pgs. 239-257 (1993)				
CC	Nakane et al., Coloring Material, Vol. 67, No. 12, pgs. 766-774 (1994)				
CD	Nakane et al., Coloring Material, Vol. 69, No. 11, pgs. 735-742 (1996)				
CE	Copy of International Search Report dated February 22, 2005				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Signature	Date Considered
--------------------	-----------------